PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: O76415

Shigeyuki AINO, et al.

Appln. No.: 10/612,930

Group Art Unit: 2184

Confirmation No.: Unknown

Examiner: Unknown

Filed: July 7, 2003

For:

INFORMATION PROCESSING APPARATUS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. European Unexamined Patent Publication No. 0 817 053, published January 7, 1998.
- 2. United States Patent Application Publication No. 2002/0065996, published May 30, 2002.
- 3. United States Patent No. 6,260,159, issued July 10, 2001.
- 4. European Unexamined Patent Publication No. 0 974 912, published January 26, 2000.
- 5. United States Patent No. 5,778,206, issued July 7, 1998.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/612,930

One copy of each of the listed documents is submitted herewith, along with a copy of the

European Search Report dated October 14, 2003, issued in the corresponding European Patent

Application, which cites the above listed documents.

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date; (2) Before the mailing date of the first Office Action

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernstein

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WASHINGTON OFFICE

23373

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Date: January 2, 2004

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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CUSTOMER NUMBER

Date: January 2, 2004

	Sanssitute for Form 1449 A & B/PTO		Complete if Known				
	K11 - 6		Application Number	10/612,930			
/	TWO ORMATION DIS	CLOSURE	Confirmation Number	Unknown			
	JAN 0 2 2004 SEATEMENT BY AP	PLICANT	Filing Date	July 7, 2003			
ĺ	JAN 6 2 200		First Named Inventor	Shigeyuki AINO			
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'	(use as many sheets as r		Examiner Name	Unknown			
	Sheet 1	of 1	Attorney Docket Number	Q76415			

U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number		Dublication Data			
Initials*		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US 5,778,206	Α	07-07-1998	Pain et al.		
		US 6,260,159	B1	07-10-2001	Garnett et al		
		US 2002/0065996	A1	05-30-2002	Garnett et al.		
		US					
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Examiner	miner Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		EP	0 974 912	A2	01-26-2000		
		EP	0 817 053	A1	06-19-1997		
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation		
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Examiner Signature		Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.